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STATEMENT BY APPLICANT	First Named Inventor	Pettit, Stephen W.	
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		18.	6,055,507	4/25/2000	Cunningham		705	36	4, 5	C <sub>A</sub>
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Information Disclosure Statement – Section 9 PTO-1449

## FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S O I INFORMATION DISCLOSURE STATEMENT ATTY. DOCKET NO. 264/055 APPLICANT: Stephen W. Pettit, et al. FILING DATE: January 2, 2001 GROUP: -3161-3626

MAY 1 3 2002 **U.S. PATENT DOCUMENTS** EXAMPLE BEINARY SUB FILING **DOCUMENT NUMBER** DATE NAME . CLASS DATE CLASS 1. 4,839,806 6/13/1989 Goldfischer, et al. 2. 4,857,713 8/15/1989 Brown 3. 4,857,716 8/15/1989 Gombrich, et al. 4. 2/10/1998 5,716,114 Holmes, et al. 5. 5,624,400 4/29/1997 Firth, et al. 300 6. 5,842,976 12/1/1998 Williamson 7. 5,848,593 12/15/1998 McGrady, et al. 8. 5,852,590 12/22/1998 de la Huerga 9. 5,912,818 6/15/1999 McGrady, et al. 10. 5,940,306 8/17/1999 Gardner, et al. 11. 5,971,593 10/26/1999 McGrady 12. 5,973,600 10/26/1999 Mosher, Jr. 13. 5,991,729 11/23/1999 Barry, et al. 14. 5,991,730 11/23/1999 Lubin, et al. 2 6,003,006 15. 12/14/1999 Colella, et al. 705 16. 6,021,392 2/1/2000 Lester, et al.

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
PCT Publication No. WO 00/62221 entitled, "Lot Tracking Systems for Pharmaceuticals", 10/19/2000.

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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	•
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	24	GB	2 309 801	A	Rogers	8/6/1997		
	25	WO	96/05522		Rosenfeld et al.	2/22/1996		
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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